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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/802,385	03/17/2004	James V. Miller	P00918-US-00

CONFIRMATION NO. 3674

FORMALITIES LETTER



OC000000012863711

Russell E. Fowler, II
 ICE MILLER
 One American Square
 Box 82001
 Indianapolis, IN 46282-0002

Date Mailed: 06/03/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/08/2004 FFANAEIA 00000059 10802385

FILED UNDER 37 CFR 1.53(b)

01 FC:2001
 02 FC:2051

385.00 OP
 65.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1)); See Figure(s) 2.
 - The drawings must be made on paper that has a white background (see 37 CFR 1.84(e)). For example, drawings on graph paper, lined paper, or paper that has a non-white background are not acceptable. See Figure(s) 4, 5, 6, 7, 8.

- An abstract of the technical disclosure not exceeding 150 words in length and commencing on a separate sheet in compliance with 37 CFR 1.72(b) is required. An abstract was not provided for this application.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$450** for a Small Entity

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

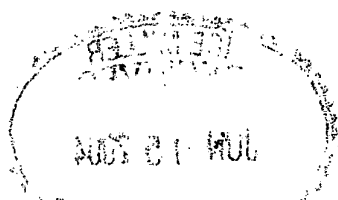
*A copy of this notice **MUST** be returned with the reply.*

E. J. G. S. n

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



September 2, 2004

WRITER'S DIRECT NUMBER: (317) 236-5972

Internet: powlick@icemiller.com



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAIL STOP: MISSING PARTS

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to: MAIL STOP: MISSING PARTS, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

September 2, 2004
Date of Deposit

Barbara A. LaBarge
Typed or Printed Name of Person Signing this Certificate

Barbara A. LaBarge
Signature

9/2/04
Date of Signature

09/08/2004 FFANAEIA 00000059 10802385

03 FC:2251

55.00 OP

Re: Response to Notice of Missing Parts
Invention: LOW CLEARANCE SHUTTER SLAT
Inventor: Miller, James V.
Serial No.: 10/802,385
Conf. No. 3674
Filed: March 17, 2004
Our Docket: P00918-US-00 (25490.0031)

RESPONSE TO NOTICE OF MISSING PARTS

Sir:

In response to the enclosed Notice of Missing Parts dated June 3, 2004, Applicant hereby petitions for a one-month extension of time. The deadline for filing with a one-month extension of time is September 3, 2004.

Applicant encloses the following:

1. A Declaration and Power of Attorney executed by the inventor.
2. A check in the amount of \$505.00 for payment of:
 - a. the \$385 statutory basic filing fee (small entity),
 - b. the surcharge of \$65 under 37 C.F.R. §1.16(e) for late filing of the declaration and a copy of the Notice to File Missing Parts, and
 - c. the fee of \$55 for a one-month extension of time under 37 C.F.R.

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Serial No. 10/802,385
September 2, 2004
Page 2

§1.17(a)(1).

3. A Submission of Formal Drawings, along with five pages of drawings, to replace Figures 1-8.

4. An Abstract on a separate sheet.

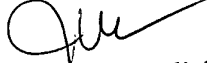
5. A copy of the Notice to File Missing Parts of Nonprovisional Application.

Please charge deposit account no. 09-0007 for any fee deficiency.

Applicant now believes that this application is complete. If there are any questions regarding the Notice of Missing Parts or the enclosed documents, then it is requested that the examiner contact the undersigned.

Respectfully submitted,

ICE MILLER



Jill T. Powlick, Reg. No. 42,088

ICE MILLER

One American Square, 31st Floor

Indianapolis, IN 46204

Telephone: (317) 236-5972

Facsimile: (317) 592-4610

Date: 9/2/04

Enclosures: Check in the amount of \$505.00
Fee Transmittal Sheet
Response to Notice of Missing Parts
Executed Declaration
Submission of Formal Drawings (with 5 sheets of drawings)
Abstract
Copy of Notice to File Missing Parts
Return Postcard



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 505.00)

Complete if Known

Application Number 10/802,385
Filing Date 03/17/2004
First Named Inventor James V. Miller
Examiner Name Unknown
Art Unit Unknown
Attorney Docket No. P00918-US-00

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number 09-0007

Deposit Account Name ICE MILLER

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	385.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ 385.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims -20** = X =
Independent Claims -3** = X =
Multiple Dependent =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	65.00
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	55.00
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 120.00)

(Complete if applicable)

SUBMITTED BY

Name (Print/Type) Jill Tabor Powlick

Signature

Registration No. 42,088
(Attorney/Agent)

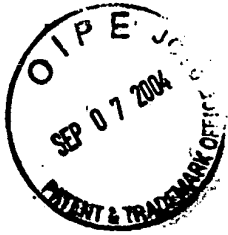
Telephone 317-236-5972

Date 09/02/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



September 2, 2004

WRITER'S DIRECT NUMBER: (317) 236-5972
internet: jill.powlick@icemiller.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Invention: LOW CLEARANCE SHUTTER SLAT
 Inventor: Miller, James V.
 Serial No.: 10/802,385
 Conf. No. 3674
 Filed: March 17, 2004
 Our Docket: P00918-US-00 (25490.0031)

SUBMISSION OF FORMAL DRAWINGS

Dear Sir:

Enclosed are five sheets of new drawings, Figs. 1-8, for the above identified patent application. Please replace the informal drawings, as originally filed, with the enclosed formal drawings.

Should there be any questions, the Draftsman is invited to contact the undersigned.

Sincerely,

ICE MILLER

Jill T. Powlick
Attorney Registration No. 42,088
ICE MILLER
One American Square, 31st Floor
Indianapolis, Indiana 46204
Telephone: (317) 236-5972
Facsimile: (317) 592-4610
Date: 9/2/04

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September 2, 2004
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INDY 1421898v1